

## Message Text

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TO SECSTATE WASHDC 0152

INFO ZEN/AMEMBASSY MOSCOW 709

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E. 9. 11652: N/A

TAGS: TGEN, OCON, UR

SUBJECT: S AND T AGREEMENT: SECOND JOINT COMMISSION MEETING

1. SECOND JOINT COMMISSION MEETING ENDED NOVEMBER 30 WITH SIGNING OF JOINT RECORD AND JOINT PRESS CONFERENCE BY DR. STEVER AND KIRILLIN ATTENDED BY BOTH SOVIET AND US CORRESPONDENTS. MEETING WAS CONDUCTED IN FRIENDLY AND CONSTRUCTIVE ATMOSPHERE. PROGRESS IN IMPLEMENTATION OF PROJECTS WAS NOTED, AND NEED FOR MOVING FORWARD FROM ORGANIZATIONAL PHASE TO ACTUAL JOINT WORK WAS EMPHASIZED.

2. AFTER SOME DEBATE, COMMISSION ADOPTED GUIDELINES ON INTELLECTUAL PROPERTY RIGHTS, INCLUDING PROVISIONS CONCERNING COPYRIGHTS AND FREEDOM OF FLOW OF SCIENTIFIC INFORMATION; AND ESTABLISHED WORKING GROUP ON INTELLECTUAL PROPERTY WITH AGREED TERMS OF REFERENCE.

3. COMMISSION DISCUSSED IMPLEMENTATION OF ARTICLE 4 OF S AND T AGREEMENT, PARTICULARLY AS IT RELATES TO RELATIONSHIPS BETWEEN US PRIVATE FIRMS AND SCST. US SIDE STRESSED NEED FOR RECIPROCAL FLOW OF ADVANCED INDUSTRIAL TECHNOLOGY. THIS POINT REFLECTED IN RECORD OF DISCUSSION.

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4. AS ALSO NOTED IN RECORD, COMMISSION REVIEWED SEVERAL ADMINISTRATIVE PROBLEMS, SUCH AS NEED FOR IMPROVED COMMUNICATIONS AND SOVIET PREFERENCE FOR "RECEIVING SIDE PAYS" ARRANGEMENTS.

5. FOLLOWING MEETING, MAIN BODY OF COMMISSION VISITED FACILITIES IN LENINGRAD BEFORE RETURN TO MOSCOW FOR FURTHER SCIENTIFIC SITE VISITS, AND DEPARTURE WEDNESDAY, DECEMBER 5.

6. FOLLOWING IS THE TEXT OF THE RECORD OF THE SECOND MEETING OF THE U.S.-U.S.S.R. JOINT COMMISSION ON SCIENTIFIC AND TECHNICAL COOPERATION.

7. BEGIN TEXT. THE U.S.-U.S.S.R. JOINT COMMISSION ON SCIENTIFIC AND TECHNICAL COOPERATION HELD ITS SECOND MEETING ON NOVEMBER 23-29, 1973, IN MOSCOW. THE JOINT COMMISSION WAS ESTABLISHED IN ACCORDANCE WITH THE AGREEMENT ON COOPERATION IN THE FIELDS OF SCIENCE AND TECHNOLOGY BETWEEN THE GOVERNMENT OF THE UNITED STATES OF AMERICA AND THE GOVERNMENT OF THE UNION OF SOVIET SOCIALIST REPUBLICS, SIGNED MAY 24, 1972.

8. DR. H. GUYFORD STEVER, THE PRESIDENT'S SCIENCE ADVISOR AND DIRECTOR OF THE NATIONAL SCIENCE FOUNDATION, HEADED THE U.S. DELEGATION. ACADEMICIAN V. A. KIRILLIN, DEPUTY CHAIRMAN OF THE U.S.S.R. COUNCIL OF MINISTERS AND CHAIRMAN OF THE STATE COMMITTEE OF THE U.S.S.R. COUNCIL OF MINISTERS FOR SCIENCE AND TECHNOLOGY, HEADED THE U.S.S.R. DELEGATION. A LIST OF MEMBERS OF THE TWO DELEGATIONS WHO PARTICIPATED IN THE MEETING IS ATTACHED (APPENDIX NO.1). THE AGENDA ADOPTED BY THE JOINT COMMISSION IS ALSO ATTACHED (APPENDIX NO. 2).

9. THE JOINT COMMISSION REVIEWED BILATERAL ACTIVITIES IN THE FIELDS OF SCIENCE AND TECHNOLOGY SINCE THE FIRST MEETING IN MARCH, 1973, IN WASHINGTON. IT NOTED WITH SATISFACTION THE FORWARD STEPS THAT HAVE BEEN TAKEN IN IMPLEMENTING THE SEVERAL AGREEMENTS RELATING TO SCIENTIFIC AND TECHNICAL COOPERATION CONCLUDED IN 1972. THE JOINT COMMISSION ALSO WELCOMED THE SIGNING IN WASHINGTON IN JUNE, 1973 OF IMPORTANT NEW AGREEMENTS ON COOPERATION IN AGRICULTURE, TRANSPORTATION, THE PEACEFUL USES OF ATOMIC ENERGY, STUDIES OF THE WORLD OCEAN, AND A GENERAL AGREEMENT ON CONTACTS, EXCHANGES, AND COOPERATION, WHICH OPEN NEW OPPORTUNITIES

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FOR FURTHER EXPANSION AND DEEPENING OF SCIENTIFIC AND TECHNICAL COOPERATION BETWEEN THE UNITED STATES AND THE SOVIET UNION. IT EXPRESSED THE VIEW THAT THE COOPERATION IN AGRICULTURE, TRANSPORTATION, AND OCEANOGRAPHY PREVIOUSLY BEING CARRIED OUT UNDER THE AGREEMENT ON COOPERATION IN THE FIELDS OF SCIENCE AND TECHNOLOGY WOULD BE IMPLEMENTED IN THE FUTURE DIRECTLY UNDER THE FRAMEWORK OF THE APPROPRIATE NEW AGREEMENTS.

10. THE JOINT COMMISSION NOTED WITH SATISFACTION THE PROGRESS THAT HAS BEEN MADE IN CARRYING OUT THE DECISIONS TAKEN AT ITS FIRST MEETING FOR PRIORITY IMPLEMENTATION OF A NUMBER OF PROJECTS. IT WAS NOTED THAT, IN MANY FIELDS, THE STAGE OF DEFINING WORK PROGRAMS AND DETERMINING THE SPECIFIC ORGANIZATIONS THAT WILL WORK TOGETHER IS NOW BEING CONCLUDED, ALLOWING THE NEXT STAGE OF ACTUAL IMPLEMENTATION OF CONCRETE PROGRAMS OF JOINT WORK TO BEGIN.

11. IN THE AREA OF ENERGY RESEARCH AND DEVELOPMENT, THE JOINT COMMISSION COMMENDED THE PROGRESS BEING MADE IN COOPERATION ON THE DEVELOPMENT OF COMMERCIAL-SCALE OPEN CYCLE MHD POWER PLANTS. IT ENDORSED THE PRACTICAL STEPS TAKEN BY CORRESPONDING ORGANIZATIONS OF THE TWO SIDES ON THE REALIZATION OF JOINT PROJECTS ON DESIGN AND OPERATION OF THE THERMAL AND HYDROELECTRIC POWER STATIONS AND ON SUPERCONDUCTING TRANSMISSION TECHNOLOGY.

12. IN THE AREA OF CHEMICAL CATALYSIS, POSITIVE RESULTS HAVE BEEN OBTAINED BY THE WORKING GROUP. IN BOTH THE U.S. AND U.S.S.R., RESEARCH WORK IS UNDERWAY IN ACCORDANCE WITH THE PROGRAMS AGREED DURING MEETINGS BETWEEN AMERICAN AND SOVIET EXPERTS, RELATING TO THE TOPICS ON CATALYSIS BY COORDINATION COMPLEXES AND ORGANO-METALLIC COMPOUNDS, CATALYTIC REACTOR MODELING, THE IN-DEPTH STUDY OF SELECTED CATALYTIC SYSTEMS, THE APPLICATION OF CATALYSIS TO LIFE SUPPORT SYSTEMS FOR USE IN SPACE EXPLORATION. AT THE PRESENT TIME THE PARTICIPATING ORGANIZATIONS OF THE TWO SIDES ARE PREPARED TO EXCHANGE PERSONNEL AND TO CONDUCT JOINT WORK IN SCIENTIFIC RESEARCH INSTITUTES IN THE U.S. AND THE U.S.S. R.

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13. IN OTHER AREAS OF COOPERATION, WORKING GROUPS HAVE ALSO CARRIED OUT A NUMBER OF USEFUL MEASURES. IT WAS NOTED AT THE SAME TIME THAT PROGRAMS ARE STILL BEING DEFINED ON CERTAIN TOPICS. THE JOINT COMMISSION REQUESTED ALL WORKING GROUPS TO MOVE AS QUICKLY AS POSSIBLE TO JOINT EFFORTS AIMED AT PRACTICAL RESULTS IN 1974.

14. IN THE AREAS OF WATER RESOURCES AND PRODUCTION OF SUBSTANCES BY MICROBIOLOGICAL MEANS, THE JOINT COMMISSION REQUESTED THE RESPECTIVE JOINT WORKING GROUPS TO EXPEDITE EFFORTS TO ACCOMPLISH WORK ON THE AGREED PRIORITY TOPICS.

15. HAVING EXAMINED THE REPORT BY THE WORKING GROUP CHAIRMEN ON THE APPLICATION OF COMPUTERS IN MANAGEMENT DEALING WITH THE IMPLEMENTATION OF THE RECOMMENDATIONS OF THE FIRST MEETING, THE JOINT COMMISSION HAS APPROVED THE FOLLOWING AGREED TOPICS FOR COOPERATION FOR EXPEDITIOUS, PRACTICAL IMPLEMENTATION:

1. ECONOMETRIC MODELING (DEVELOPMENT OF FORECASTING MODELS FOR ANALYSIS OF VARIOUS BRANCHES OF THE ECONOMY).

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2. COMPUTER ANALYSIS APPLIED TO THE ECONOMICS AND MANAGEMENT OF LARGE SYSTEMS.

3. APPLICATION OF COMPUTERS TO THE MANAGEMENT OF LARGE CITIES. THE JOINT COMMISSION HAS APPROVED THE FOLLOWING TOPICS FOR COOPERATION IN THE FIELD OF SCIENCE POLICY:

1. PLANNING AND ADMINISTRATION OF RESEARCH AND DEVELOPMENT.

2. FINANCING RESEARCH AND DEVELOPMENT.

3. TRAINING AND UTILIZATION OF SCIENTIFIC AND ENGINEERING-TECHNICAL PERSONNEL.

4. STIMULATING THE DEVELOPMENT OF FUNDAMENTAL RESEARCH.

16. HAVING EXAMINED THE REPORT PRESENTED BY THE TWO SIDES ON SYMPOSIUMS ON SCIENTIFIC AND TECHNICAL INFORMATION HELD IN 1973 IN THE U.S.A. AND THE U.S.S.R., THE JOINT COMMISSION DECIDED TO CREATE A JOINT WORKING GROUP IN THE FIELD OF SCIENTIFIC

AND TECHNICAL INFORMATION AND APPROVED THE FOLLOWING TOPICS FOR COOPERATION:

1. DEVELOPMENT AND TESTING OF A COMMON COMMUNICATIONS FORMAT FOR EXCHANGE OF BIBLIOGRAPHIC DATA.

2. IMPROVEMENT IN METHODS FOR FORECASTING INFORMATION REQUIREMENTS AND SERVICES.

3. DEVELOPMENT OF USABLE MEANS FOR ESTIMATING THE COST/BENEFIT RETURNS OF INFORMATION SERVICES.

17. THE JOINT COMMISSION ALSO CONSIDERED REPORTS AND RECOMMENDATIONS OF THE EXPERT GROUPS ESTABLISHED DURING THE SUMMER OF 1973 FOR THE ADDITIONAL AREAS IDENTIFIED AT THE FIRST MEETING AS SHOWING PROMISE FOR MUTUALLY BENEFICIAL COOPERATION, AND MADE THE FOLLOWING DECISION.

18. IN THE AREA OF FORESTRY RESEARCH AND TECHNOLOGY, THE JOINT COMMISSION APPROVED THE FOLLOWING TOPICS FOR PRIORITY IMPLEMENTATION AS RECOMMENDED BY THE WORKING GROUP UNDER THE UNCLASSIFIED

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LEADERSHIP OF THE U.S. FORESTRY SERVICE AND THE STATE COMMITTEE FOR FORESTRY MANAGEMENT OF THE COUNCIL OF MINISTERS OF THE U.S.S.R.:

1. EFFECTIVE METHODS AND MEANS OF DETECTION, PREVENTION AND CONTROL OF FOREST FIRES:

2. INTEGRATED CONTROL OF FOREST INSECTS AND DISEASES:

3. CLASSIFICATION OF FOREST BIOGEOCENOSIS (ECOSYSTEMS) AND DETERMINATION OF THEIR BIOLOGICAL POTENTIAL:

4. DEVELOPMENT OF IMPROVED TECHNOLOGICAL PROCESSES OF FOREST HARVESTING.

5. REFORESTATION AND AFFORESTATION.

19. IN THE AREA OF ELECTROMETALLURGY, THE JOINT COMMISSION DECIDED TO ESTABLISH A JOINT WORKING GROUP IN THIS FIELD AND APPROVED THE FOLLOWING PROJECTS FOR PRIORITY IMPLEMENTATION:

1. ELECTROSLAG TECHNOLOGY (REMELTING, CASTING, WELDING) FOR PRODUCING LARGE-TONNAGE BILLETS, PARTICULARLY, FOR HEAVY MACHINE-BUILDING.

2. PLASMA-ARC MELTING OF METALLIC MATERIALS.

3. ELECTRON-BEAM EVAPORATION OF METALLIC AND NON-METALLIC MATERIALS IN VACUUM.

4. INVESTIGATION AND DEVELOPMENT OF NEW WELDING MATERIALS OF GENERAL AND SPECIAL-PURPOSE APPLICATION (ELECTRODES, FLUX-CORED WIRES AND SOLID WIRES, FLUXES, GAS MIXTURES).

20. IN THE AREA OF METROLOGY AND STANDARDIZATION, THE JOINT COMMISSION NOTED THE REPORT ON THE POSITIVE STEPS TAKEN BY THE REPRESENTATIVES OF THE U.S. NATIONAL BUREAU OF STANDARDS AND THE THE STATE COMMITTEE FOR STANDARDS AT THE COUNCIL OF MINISTERS OF THE U.S.S.R. ON THE PREPARATION OF CONCRETE COOPERATION PROGRAMS IN THIS AREA.  
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21. THE JOINT COMMISSION RECOMMENDED THAT THE WORKING GROUPS IN THE ABOVE-MENTIONED NEW AREAS COMPLETE PROMPTLY THE THE TASK OF DEFINING PROGRAMS, AND TO START JOINT CONCRETE MEASURES FOR THEIR REALIZATION.

22. THE JOINT COMMISSION NOTED WITH APPROVAL THE REPORT OF THE REPRESENTATIVES OF THE U.S. NATIONAL ACADEMY OF SCIENCE,

THE ACADEMY OF SCIENCES OF THE U.S.S.R. ABOUT THEIR INTENTION TO TAKE STEPS TO ORGANIZE A JOINT AMERICAN-SOVIET RESEARCH ORGAN ON PROBLEMS OF THEORETICAL PHYSICS.

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23. THE JOINT COMMISSION TOOK NOTE OF THE RECORD OF DISCUSSION OF THE GROUP OF EXPERTS ON RIGHTS TO INTELLECTUAL PROPERTY SIGNED THE 19TH OF OCTOBER, 1973 IN WASHINGTON, D.C.

24. THE JOINT COMMISSION APPROVED THE STATEMENT OF GUIDING PRINCIPLES FOR INTELLECTUAL PROPERTY (APPENDIX 3) IN US-USSR COOPERATIVE ACTIVITY WITH THE UNDERSTANDING THAT RIGHTS TO INTELLECTUAL PROPERTY MAY BE THE SUBJECT OF SPECIAL NEGOTIATIONS, AGREEMENTS, AND CONTRACTS BETWEEN COOPERATING ORGANIZATIONS THAT ARE INVOLVED.

25. THE JOINT COMMISSION APPROVES THE ESTABLISHMENT OF A WORKING GROUP ON INTELLECTUAL PROPERTY WITH TERMS OF REFERENCE AS SET FORTH IN APPENDIX 4 AND INSTRUCTS THE WORKING GROUP TO GIVE PRIORITY ATTENTION TO LEGAL, ECONOMIC AND OTHER QUESTIONS BASIC TO THE FORMULATION AND APPLICATION OF INTELLECTUAL PROPERTY PROVISIONS SUCH AS:

(A) PRECISE DEFINITIONS OF THE TERMS USED IN THE STATEMENT OF GUIDING PRINCIPLES:

(B) THE SAFEGUARDING OF THE RIGHTS OF ORGANIZATIONS AND  
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PERSONS IN RELATION TO COOPERATIVE ACTIVITIES:

(C) THE FURTHER DEVELOPMENT OF GUIDING PRINCIPLES AND THE FORMULATION OF PROCEDURES FOR IMPLEMENTING INTELLECTUAL PROPERTY PROVISIONS OF COOPERATIVE ACTIVITIES: AND

(D) OTHER QUESTIONS OF MUTUAL INTEREST.

26. THE JOINT COMMISSION CONTINUED ITS DISCUSSION ABOUT ITS ROLE IN ENCOURAGING AND FACILITATING DIRECT CONTACTS BETWEEN INSTITUTIONS, ORGANIZATIONS AND FIRMS OF THE TWO COUNTRIES, AS STATED IN ARTICLE IV OF THE AGREEMENT ON COOPERATION IN THE FIELDS OF SCIENCE AND TECHNOLOGY, SIGNED MAY 24, 1972.

27. BOTH SIDES HAVE AGREED THAT IT WOULD BE USEFUL TO EXPAND THE EXCHANGE OF INFORMATION ON ACHIEVEMENTS IN SCIENCE AND TECHNOLOGY

AND ON NEW PRODUCSTS THAT MIGHT BE OF INTEREST TO THESE INSTITUTIONS, ORGANIZATIONS, AND FIRMS.

28. BOTH SIDES RECOGNIZE THE DESIRABILITY OF IMPROVING COMMUNICATIONS BETWEEN WORKING GROUP AND PROJECT LEADERS. THE JOINT COMMISSION DIRECTED THE SECRETARIATS TO EXCHANGE FULL NAMES, ADDRESSES, TELEPHONE AND TELEX NUMBERS, TO FACILITATE DIRECT COMMUNICATION BETWEEN THE EXPERTS ON ROUTINE OPERATIONAL MATTERS AFTER THE PLANNING STAGES HAVE BEEN PASSED AND ACTUAL IMPLEMENTATION BEGINS.

29. THE JOINT COMMISSION DISCUSSED THE GUIDELINES CONCERNING FINANCIAL SUPPORT FOR COOPERATIVE ACTIVITIES APPROVED IN ITS FIRST MEETING. BOTH SIDES AGREED TO THE DESIRABILITY OF MOVING TOWARD THE PRINCIPLE OF "RECEIVING SIDE PAYS" WHEREVER POSSIBLE IN PROGRAMS OF COOPERATION. ON A CASE-BY-CASE BASIS, PARTICULAR EFFORTS WILL BE MADE IN THIS REGARD ON PROJECTS AT THE STAGE WHERE ACTUAL JOINT RESEARCH WORK IS BEING CARRIED OUT.

30. IT WAS DECIDED THAT THE THIRD MEETING OF THE JOINT COMMISSION SHOULD BE HELD IN THE UNITED STATES DURING OCTOBER, 1974. DONE IN MOSCOW ON NOVEMBER 30, 1973, IN DUPLICATE, IN THE ENGLISH AND RUSSIAN LANGUAGES, BOTH TEXTS BEING EQUALLY AUTHENTIC.

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(SIGNED) H. GUYFORD STEVER      (SIGNED) V. A. KIRILLIN

HEAD OF THE US DELEGATION      HEAD OF THE USSR DELEGATION

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MATTHEWS

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